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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/662,940
Filing Date	September 16, 2003
First Named Inventor	Kimball C. Chen, et al.
Group Art Unit	2632
Examiner Name	Igor N. Borissov
Attorney Docket Number	64171.000002

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Kimball C. CHEN, et al.

Art Unit

2632

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IB	1.	WO	98/37704	08-27-1998	Frantz		<input type="checkbox"/>	<input type="checkbox"/>
IA	2.	GB	2 325 548	11-25-1998	Nabavi		<input type="checkbox"/>	<input type="checkbox"/>
not considered	3.	DE	197 52 853 C1	02-11-1999	Bräuer et al.	NO translation not considered	<input checked="" type="checkbox"/>	<input type="checkbox"/>
IB	4.	WO	99/15950	04-01-1999	Ditmer et al.		<input type="checkbox"/>	<input type="checkbox"/>
IB	5.	WO	99/39505	08-05-1999	Kavy		<input type="checkbox"/>	<input type="checkbox"/>
IB	6.	EP	0 944 002 A1	09-22-1999	Bunney et al.		<input type="checkbox"/>	<input type="checkbox"/>

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	7.	European Search Report dated 03-29-2004 for European Application No. 00 90 8229		

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IR	1.	US- 4135101	01-16-1979	Young et al.	
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IB	37.	US- 20020107787	08-08-2002	Mashinsky et al.	

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		Country Code:	Number - Kind Code (if known)				YES	NO
IB	38.	EP	1202425 A2	05-02-2002	Weiss		<input checked="" type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

NON-PATENT LITERATURE DOCUMENTS

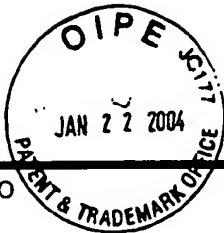
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IB	51. ✓	JAMES RUNNION et al., Building Management Group Finds Financial Benefit in ComEd's Energy Tracker Program, Siemens Energy & Automation--ComEd's Energy Tracker Program, <http://www.sea.siemens.com/power/case/ptcases3.html>, 2003, pp. 1-5		
IB	52. ✓	THOMAS BROCK et al., The Power Buy...Utility Deregulation's Effect on Power Procurement, GE Electric Distribution & Control, 1998, 4 pages		
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IB	81. ✓	IP The Institute of Petroleum, Petroleum Economist - Energy Training, Price Risk Management in Energy Trading, May 6-9, 2003, 5 pages		
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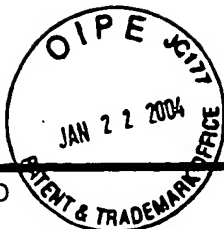
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RB	91. ✓	MATTHEW L. WALD, "Lag of Data to Grid Operator May Be a Key to Blackout," September 5, 2003, The New York Times, <www.nytimes.com>, pp. 1-2			
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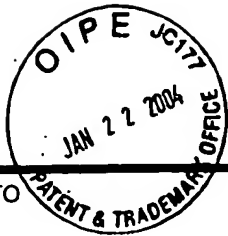
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/662,940
				Filing Date	September 16, 2003
				First Named Inventor	Chen, et al.
				Art Unit	2632
				Examiner Name	Unassigned
Sheet	10	of	12	Attorney Docket Number	64171.000002
NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
IB	111. ✓	Rom Communications, <http://www.romcomm.com/>, 2003, 7 pages			
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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Sheet	11	of	12	Attorney Docket Number	64171.000002
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*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
IR	121.	Demand a Response Programs, <www.naseo.org/committees/energy/documents/demand_response_program_final.pdf>, 10 pages		
IR	122.	Taming Price Volatility With The Next Generation Of Load Management, <www.retx.com/images/upload/whitepapers/white%20paper_taming-price.pdf>, 6 pages		
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IR	126.	EPSC's Policy Positions, White Paper An Initial Analysis of Recent Wholesale Prices, Price Caps, and Their Effect on Competitive Bulk Power Markets, <http://www.epsc.org/forms/documents/DocumentFormPublic/view?=30500000034E>, 1/16/04, pp. 1-20		
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IR	130.	Larry Barrett, Peak Load Management Alliance, Key Issues in Demand Response: Overview of PLMA Efforts, <www.peaklma.com>, Spring 2002, pp. 1-34			
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DB	133.	CHARLES GOLDMAN, California Public Benefit Programs and Funds: Opportunities for Federal Customers, August 30, 2001, 22 pages			
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